

jc962 U.S. PTO
06/07/01

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FORM PTO-1082
ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Express Mail Label No. EL856246240US
Case Docket No. 57139-5052
Date:

JC971 U.S. PTO
09/876346
06/07/01

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): **Tadashi ICHIDA and Akira TSUMIYAMA**

For: **HYDRAULIC GEAR SHIFT MECHANISM**

Enclosed are:

- ☒ 9 Sheet(s) of drawing (☐ formal ☒ informal) + ___ extra copies.
- ☒ 20 pages of specification.
- ☒ An executed assignment of the invention to Shimano Inc.
- ☐ A certified copy of _____
from which priority is claimed in the subject case pursuant to Rule 55(b) and 35 USC 119(a)-(d).
- ☒ An executed Power of Attorney by Assignee and Exclusion of Inventor Under Rule 3.71 is enclosed.
- ☐ A Verified Statement to Establish Small Entity Status under 37 CFR 1.9 and 37 CFR 1.27.
- ☒ An executed Declaration is enclosed.
- ☒ An executed Recordation Form Cover Letter (Form PTO 1595) will follow.
- ☒ Information Disclosure Statement (Form PTO 1449), with 4 attachments.
- ☐ Petition to Make Special under 37 CFR §1.102 and MPEP §708.02(VIII).
- ☐ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(ii)

FOR:	NO. FILED	NO. EXTRA	SMALL ENTITY		OR	OTHER THAN SMALL ENTITY	
			RATE	FEE		RATE	FEE
BASIC FEE				\$			\$ 710
TOTAL CLAIMS	14 - 20	0	x 9 =	\$	OR	x 18 =	\$
INDEP CLAIMS	8 - 3	5	x 40 =	\$	OR	x 80 =	\$ 400
MULTIPLE DEPENDENT CLAIMS PRESENTED			+ \$130			+\$260	
TOTAL				\$			\$1,110

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

Tadashi ICHIDA

Serial No. not yet assigned

Filed: June 7, 2001

For: HYDRAULIC GEAR SHIFT
MECHANISM

Group Art Unit: not yet assigned

Examiner: not yet assigned

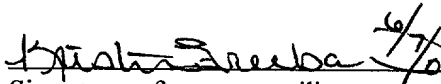
CERTIFICATE OF MAILING UNDER 37 CFR 1.10

Express Mail No. EL856246240US

Date of Deposit June 7, 2001

I hereby certify that the attached Patent Application Transmittal - FORM PTO 1082 (1 page); Application including, specification, claims and abstract (20 pages); eight (9) sheets of drawings; executed Declaration, executed Power of Attorney by Assignee and Exclusion of Inventor and an executed Assignment with PTO Form 1595; Information Disclosure Statement with 4 cited references; Check for \$1,110.00, Check for \$40.00 and a return postcard is being deposited with the United States Postal Service, "Express Mail Post Office to Addressee" services under 37 C.F.R. § 1.10, on the date indicated above and is addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231.

Kristin Freebairn


Signature of person mailing paper